

# Solving Degree Bounds for Iterated Polynomial Systems

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- 1 Motivation
- 2 Contributions
- 3 Gröbner Bases
- 4 Generic Coordinates
- 5 Applications
  - Applications: MiMC
  - Applications: Hades
  - Applications: GMiMC
- 6 Summary

Many new MPC/ZK-friendly ciphers and hash functions:

- MiMC [AGR<sup>+</sup>16]
- GMiMC [AGP<sup>+</sup>19a]
- Hades [GLR<sup>+</sup>20]
- Poseidon [GKR<sup>+</sup>21]
- and many more...

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- Defined over large finite fields  $\mathbb{F}_q$ , where  $\log_2(q) \geq 64$ .
  - Low degree polynomials at round level.
  - $\Rightarrow$  **Low degree polynomial models.**

## Standard Gröbner Basis Attack

- 1 Model the cipher function with a system of polynomials.
- 2 Compute a Gröbner basis with respect degree reverse lexicographic order (DRL) term order.
- 3 Perform a term order conversion to the lexicographic (LEX) term order.
- 4 Solve the univariate equation.

## Motivation: Gröbner Basis Attacks II

$I \subset P = \mathbb{F}_q[x_1, \dots, x_n]$  zero-dimensional,  $d = \dim_{\mathbb{F}_q}(P/I)$

Term Order Conversion To LEX

Complexity of probabilistic FGLM [FGHR14]:

$$\mathcal{O}(n \cdot d^\omega),$$

where  $2 \leq \omega \leq 3$ .

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### Term Order Conversion To LEX

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### Univariate Factoring

- Compute GCD with field equation  $f = x^q - x$ .
- Complexity [BBLP22]:

$$\mathcal{O}\left(d \cdot \log(d) \cdot \log(\log(d)) \cdot (\log(d) + \log(q))\right),$$

if  $d \leq q$ .

$I \subset P = \mathbb{F}_q[x_1, \dots, x_n]$  zero-dimensional

### DRL Gröbner Basis Computation

- Typically assumed that  $I$  is **regular** or **semi-regular**.
- Degree of regularity  $d_{\text{reg}}$  can be read-off Hilbert series.
- Complexity [BFS04]:

$$\mathcal{O} \left( \binom{n + d_{\text{reg}}}{d_{\text{reg}}}^\omega \right).$$

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- Limits of generic coordinates:
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  - Non-affine key schedules.

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  - Non-affine key schedules.
- Identification of degree fall polynomials for MiMC family.

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$$P = K[x_1, \dots, x_n], \quad m = \prod_{i=1}^n x_i^{d_i}, \quad \mathbf{d} = (d_1, \dots, d_n)$$

### Term Order

- 1  $>$  is a total ordering on  $\mathbb{Z}_{\geq 0}^n$ .
- 2 If  $\mathbf{a} > \mathbf{b}$  and  $\mathbf{c} \in \mathbb{Z}_{\geq 0}^n$ , then  $\mathbf{a} + \mathbf{c} > \mathbf{b} + \mathbf{c}$ .
- 3  $>$  is a well-ordering on  $\mathbb{Z}_{\geq 0}^n$ , i.e. every non-empty subset of  $\mathbb{Z}_{\geq 0}^n$  has a smallest element under  $>$ .

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## Examples

- 1  $\mathbf{a} >_{LEX} \mathbf{b}$  if the first non-zero entry of  $\mathbf{a} - \mathbf{b}$  is positive.
- 2  $\mathbf{a} >_{RLEX} \mathbf{b}$  if the last non-zero entry of  $\mathbf{a} - \mathbf{b}$  is negative.
- 3  $\mathbf{a} >_{DRL} \mathbf{b}$  if  $\sum_{i=1}^n a_i > \sum_{i=1}^n b_i$  or  $\sum_{i=1}^n a_i = \sum_{i=1}^n b_i$  and  $\mathbf{a} >_{RLEX} \mathbf{b}$ .

$I = (f_1, \dots, f_m) \subset P = K[x_1, \dots, x_n]$  ideal,  
 $I = \{f \mid f = \sum_{i=1}^m h_i \cdot f_i, h_i \in P\}$

## Gröbner Basis [Buc65]

- $I \subset P$  ideal
- $>$  term order on  $P$ .
- $\mathcal{G} \subset I$  finite basis.
- $(\text{LM}_{>}(f) \mid f \in I) = (\text{LM}_{>}(g) \mid g \in \mathcal{G})$ .

$\mathcal{F} = \{f_1, \dots, f_m\} \subset P = K[x_1, \dots, x_n]$ ,  $>$  term order on  $P$

Macaulay Matrix  $M_{\leq d}$

■  $d \in \mathbb{Z}_{\geq 0}$ :

Monomials:  $s \in P$ ,  $\deg(s) \leq d$

Polynomials:

$t \in P$ ,  $f_i \in \mathcal{F}$ ,  
 $\deg(t \cdot f_i) \leq d$

$$t \cdot f_i \begin{pmatrix} & & s & & \\ & & | & & | \\ & & | & & | \\ \hline & & | & \text{coeff.} & | \\ \hline & & | & & | \end{pmatrix}$$

$\mathcal{F} = \{f_1, \dots, f_m\} \subset P = K[x_1, \dots, x_n]$ ,  $>$  term order on  $P$

Solving Degree [CG21, Definition 6]

$\text{sd}_>(\mathcal{F})$  least  $d \in \mathbb{Z}_{\geq 0}$  such that Gaussian elimination on  $M_{\leq d}$  produces  $>$ -Gröbner basis.

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Have the complexity estimate [Sto00]

$$\mathcal{O} \left( m \cdot \text{sd}_>(\mathcal{F}) \cdot \binom{n + \text{sd}_>(\mathcal{F}) - 1}{\text{sd}_>(\mathcal{F})}^\omega \right),$$

where  $2 \leq \omega \leq 3$ .

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$$\mathcal{F} = \{f_1, \dots, f_m\} \subset P = K[x_1, \dots, x_n]$$

### Highest Degree Component

- $f \in P$ :  $f = f_d + f_{d-1} + \dots + f_0$ , where  $f_i$  homogeneous of degree  $i$ .
- $f^{\text{top}} = f_d = f^{\text{hom}} \pmod{(x_0)}$ .

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## Theorem (Characterization of Generic Coordinates)

*Equivalent are:*

- 1  $(\mathcal{F}^{\text{hom}})$  is in generic coordinates.
- 2  $\sqrt{\mathcal{F}^{\text{top}}} = (x_1, \dots, x_n)$ .
- 3  $(\mathcal{F}^{\text{top}})$  is zero-dimensional in  $K[x_1, \dots, x_n]$ .
- 4 For all  $1 \leq i \leq n$ ,  $\exists d_i \in \mathbb{Z}_{\geq 1}: x_i^{d_i} \in \text{LM}_{\text{DRL}}(\mathcal{F}^{\text{hom}})$ .

$$\mathcal{F} = \{f_1, \dots, f_m\} \subset P = K[x_1, \dots, x_n]$$

Theorem ([CG21, Theorem 9, 10, Corollary 2])

■  $(\mathcal{F}^{\text{hom}})$  in generic coordinates.

■  $\deg(f_1) \geq \dots \geq \deg(f_m)$ .

■  $l \in \min\{n + 1, m\}$ .

■ **Then:**

$$\text{sd}_{\text{DRL}}(\mathcal{F}) \leq \sum_{i=1}^l (\deg(f_i) - 1) + 1.$$

## Verifying Generic Coordinates

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## Radical Ideal

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## Verification Process

- 1  $\mathcal{F}^{\text{top}} = \mathcal{F}^{\text{hom}} \bmod (x_0)$ ,  $\sqrt{\mathcal{F}^{\text{top}}} = (0)$ .
- 2 For  $x_i$ : find  $f \in (\mathcal{F}^{\text{top}})$  such that  $f = x_i^d$ .
- 3 Set  $\mathcal{F}^{\text{top}} = \mathcal{F}^{\text{top}} \bmod (x_i)$ ,  $\sqrt{\mathcal{F}^{\text{top}}} = \sqrt{\mathcal{F}^{\text{top}}} + (x_i)$ , return to 2.
- 4 If  $\sqrt{\mathcal{F}^{\text{top}}} = (x_1, \dots, x_n)$ , then  $(\mathcal{F}^{\text{hom}})$  in generic coordinates.

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## MiMC [AGR<sup>+</sup>16]

- Univariate cipher for MPC.
- Defined over finite fields  $\mathbb{F}_p$  such that  $\gcd(3, p - 1) = 1$ .
- Let  $k \in \mathbb{F}_p$  denote a secret key, and let  $c_1, \dots, c_r \in \mathbb{F}_p$  be constants.

$$\mathcal{R}_{i,k}(x) = \begin{cases} (x + k + c_i)^3, & 1 \leq i \leq r - 1, \\ (x + k + c_r)^3 + k, & i = r. \end{cases}$$

- MiMC cipher:

$$\mathcal{C}_{\text{MiMC}}(x, k) = \mathcal{R}_{r,k} \circ \dots \circ \mathcal{R}_{1,k}(x).$$

- Given a plain/ciphertext  $p, c \in \mathbb{F}_p$  MiMC sample:

$$\mathcal{F}_{\text{MiMC}}: \begin{cases} (p + y + c_1)^3 - x_1 = 0, \\ (x_{i-1} + y + c_i)^3 - x_i = 0, & 2 \leq i \leq r-1, \\ (x_{r-1} + y + c_r)^3 + y - c = 0. \end{cases}$$

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- MiMC highest degree components:

$$\mathcal{F}_{\text{MiMC}}^{\text{top}}: \begin{cases} y^3 = 0, \\ (x_{i-1} + y)^3 = 0, & 2 \leq i \leq r. \end{cases}$$

- $\mathcal{F}_{\text{MiMC}}$  already a DRL Gröbner basis under  $x_{r-1} > \dots > x_1 > y$ .
  - $\dim_{\mathbb{F}_p}(\mathcal{F}_{\text{MiMC}}) = 3^r$ .

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- Two possible attack strategies:
  - Term order conversion to LEX and GCD with field equation  $y^p - y$ :  
$$\mathcal{O}(3^{\omega \cdot r} + \text{GCD complexity}).$$

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  - Term order conversion to LEX and GCD with field equation  $y^p - y$ :
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  - Recompute DRL Gröbner basis  $(\mathcal{F}_{\text{MiMC}}) + (y^p - y)$ :

$$\mathcal{O}\left(\binom{3 \cdot r + \deg(r_y)}{2 \cdot r + \deg(r_y)}^\omega\right).$$

- MiMC requires that  $r \geq \log_3(p)$ .
- Assume that  $\deg(r_y) \leq 2 \cdot \lceil \log_3(p) \rceil$ .

Table: MiMC complexity estimates with  $\omega = 2$ .

$\log_2(p)$	$r$	DRL Complexity (bits)	Term Order Conversion (bits)
64	50	338	165
128	81	527	264
256	162	1157	521

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- Analog you can obtain proven complexity estimates:
  - MiMC two plain/ciphertext attack.
  - Feistel-MiMC:  $\begin{pmatrix} x_L \\ x_R \end{pmatrix}, k \mapsto \begin{pmatrix} x_R + (x_L + k + c_i)^3 \\ x_L \end{pmatrix}$ .
  - Feistel-MiMC-Hash.

## Hades [GLR<sup>+</sup>20]

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- Full SPN rounds:  $\mathcal{S} : (\mathbf{x}, \mathbf{k}) \mapsto \mathbf{M} \begin{pmatrix} x_1^d \\ \vdots \\ x_n^d \end{pmatrix} + \mathbf{k} + \mathbf{c}_i$ .

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- Partial SPN rounds:  $\mathcal{P} : (\mathbf{x}, \mathbf{k}) \mapsto \mathbf{M} \begin{pmatrix} x_1^d \\ x_2 \\ \vdots \\ x_n \end{pmatrix} + \mathbf{k} + \mathbf{c}_i$ .

## Hades [GLR<sup>+</sup>20]

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- Defined over prime fields  $\mathbb{F}_p$  with  $d \in \mathbb{Z}_{>1}$  such that  $\gcd(d, p-1) = 1$ .
- Full SPN rounds.
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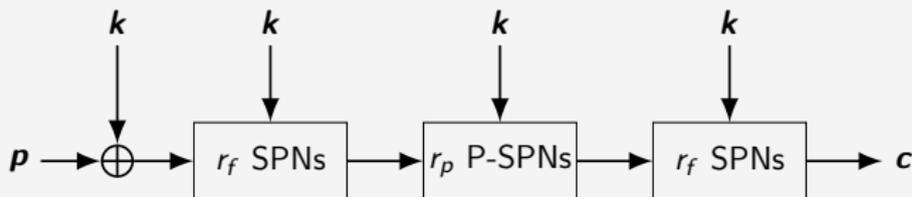


Figure: Hades strategy.

## Hades Polynomial model

Given a plain/ciphertext  $\mathbf{p}, \mathbf{c} \in \mathbb{F}_p^n$  Hades sample:

- Can set up iterated Hades polynomial system:

$$\mathcal{F}_{\text{Hades}} : \begin{cases} MS(\mathbf{p} + \mathbf{y}) + \mathbf{y} + \mathbf{c}_1 - \mathbf{x}^{(1)} = 0, \\ MS(\mathbf{x}^{(i-1)}) + \mathbf{y} + \mathbf{c}_i - \mathbf{x}^{(i)} = 0, \\ MP(\mathbf{x}^{(i-1)}) + \mathbf{y} + \mathbf{c}_i - \mathbf{x}^{(i)} = 0, \\ MS(\mathbf{x}^{(2 \cdot r_f + r_p - 1)}) + \mathbf{y} + \mathbf{c}_{2 \cdot r_f + r_p} - \mathbf{c} = 0. \end{cases}$$

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- Can set up iterated Hades polynomial system:

$$\mathcal{F}_{\text{Hades}} : \begin{cases} \mathbf{MS}(\mathbf{p} + \mathbf{y}) + \mathbf{y} + \mathbf{c}_1 - \mathbf{x}^{(1)} = 0, \\ \mathbf{MS}(\mathbf{x}^{(i-1)}) + \mathbf{y} + \mathbf{c}_i - \mathbf{x}^{(i)} = 0, \\ \mathbf{MP}(\mathbf{x}^{(i-1)}) + \mathbf{y} + \mathbf{c}_i - \mathbf{x}^{(i)} = 0, \\ \mathbf{MS}(\mathbf{x}^{(2 \cdot r_f + r_p - 1)}) + \mathbf{y} + \mathbf{c}_{2 \cdot r_f + r_p} - \mathbf{c} = 0. \end{cases}$$

- $\mathbf{M}^{-1} \mathcal{F}_{\text{Hades}}$  zero-dimensional DRL Gröbner basis for  $\mathbf{x}^{(1)} > \dots > \mathbf{x}^{(2 \cdot r_f + r_p - 1)} > \mathbf{y}$ .

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### Corollary

$\mathcal{F}$  zero-dimensional DRL Gröbner basis  $\Rightarrow (\mathcal{F}^{\text{hom}})$  in generic coordinates.

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## Corollary

$\mathcal{F}$  zero-dimensional DRL Gröbner basis  $\Rightarrow (\mathcal{F}^{\text{hom}})$  in generic coordinates.

- Generalizes to any affine key schedule.
- Have baseline solving degree:

$$\text{sd}_{\text{DRL}}(\mathbf{M}^{-1}\mathcal{F}_{\text{Hades}}) \leq (d - 1) \cdot (2 \cdot n \cdot r_f + r_p) + 1.$$

- Complexity estimate after variable elimination in partial rounds:

$$\mathcal{O} \left( \binom{d \cdot (2 \cdot n \cdot r_f + r_p)}{(d-1) \cdot (2 \cdot n \cdot r_f + r_p) + 1} \right)^\omega.$$

- Same complexity as in Hades proposal [GLR<sup>+</sup>19, §E.3] under regularity assumption.

# Gröbner Basis Attack on Hades

- Complexity estimate after variable elimination in partial rounds:

$$\mathcal{O} \left( \left( \binom{d \cdot (2 \cdot n \cdot r_f + r_p)}{(d-1) \cdot (2 \cdot n \cdot r_f + r_p) + 1} \right)^\omega \right).$$

- Same complexity as in Hades proposal [GLR<sup>+</sup>19, §E.3] under regularity assumption.

**Table:** Hades complexity estimation with  $n = 2$  and  $\omega = 2$  over a finite field  $\mathbb{F}_q$  such that  $\gcd(d, q - 1) = 1$ .

	$d = 3$		$d = 5$	
$r_f$	3	4	3	4
$r_p$	10	10	10	10
$\kappa$ (bits)	142.4	164.6	191.6	220.8

## $\text{GMiMC}_{\text{erf}}$ [AGP<sup>+</sup>19a]

- Feistel cipher with expanding round function (erf) for MPC.
- Defined over prime fields  $\mathbb{F}_p$ .
- Uses cubing in Feistel, i.e.  $f(x) = x^3$ .
- Round function:

$$(\mathbf{x}, \mathbf{k}) \mapsto \begin{pmatrix} x_n \\ x_1 + x_n^3 \\ \vdots \\ x_{n-1} + x_n^3 \end{pmatrix} + \mathbf{k} + \mathbf{c}.$$

- Generic coordinates verification for GMiMC<sub>erf</sub> via rank of linear system.
  - Solving degree:

$$\text{sd}_{DRL}(\mathcal{F}_{\text{GMiMC}_{\text{erf}}}) \leq r \cdot (d - 1) + 1.$$

- Complexity estimate:

$$\mathcal{O}\left(\binom{r \cdot d}{r \cdot (d - 1) + 1}^\omega\right).$$

- Generic coordinates verification for GMiMC<sub>erf</sub> via rank of linear system.

- Solving degree:

$$\text{sd}_{DRL}(\mathcal{F}_{\text{GMiMC}_{\text{erf}}}) \leq r \cdot (d - 1) + 1.$$

- Complexity estimate:

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- In GMiMC proposal [AGP<sup>+</sup>19a] only polynomial model in  $n$  key variables was analyzed.

- Complexity estimate [AGP<sup>+</sup>19b, §C.3]:

$$\mathcal{O}\left(\binom{n + 3^{r-n}}{3^{r-n}}^\omega\right).$$

**Table:** GMiMC<sub>erf</sub> complexity estimation with  $n = 3$  and  $\omega = 2$  over a finite field  $\mathbb{F}_q$ .

	Iterated Model		Full Model	
$r$	10	25	10	25
$\kappa$ (bits)	49	130	62	204

- Other Feistel types can be analyzed analog.

## Summary

- Full characterization of generic coordinates.
  - Efficient criterion.
- Proven DRL complexity estimates for MiMC and Hades.
- Efficient criteria for GMiMC family to be in generic coordinates.
  - Better complexity estimate than in GMiMC cryptanalysis.

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## Open Problems

- Cover more designs.
- Generic coordinates criterion fails for:
  - Sponge functions (Poseidon [GKR<sup>+</sup>21]).
    - Poseidon Gröbner basis via weight order [Ste24].
  - Non-affine key schedules.
- Improve upon Macaulay bound for overdefined systems.

# The Problem with Sponge Functions

- Sponge construction [BDPV07, BDPV08]:

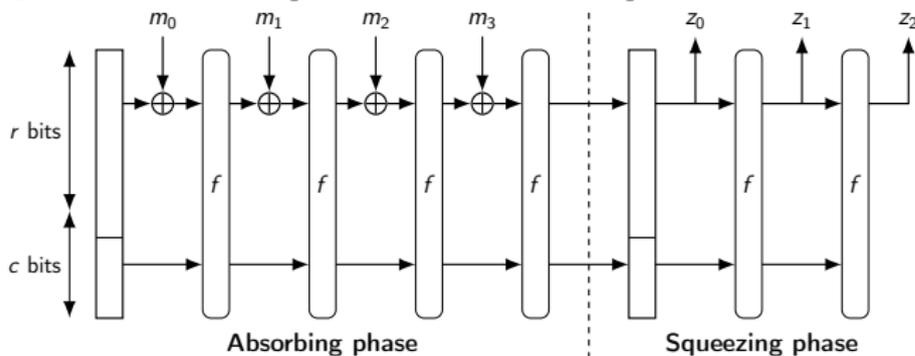


Figure: Sponge illustration by [Jea16].

- Preimage problem for sponge function:

$$\mathcal{P} \left( \begin{array}{c} \mathbf{x}_{in} \\ \text{IV} \end{array} \right) = \left( \begin{array}{c} \text{hash} \\ \mathbf{x}_{out} \end{array} \right).$$

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  - Consider last round in iterated polynomial model:

$$\mathbf{f}^{(r)} = \mathbf{M}S_d \left( \mathbf{x}^{(r-1)} \right) + \mathbf{c}_r - \begin{pmatrix} \text{hash} \\ \mathbf{x}_{out} \end{pmatrix}.$$

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- Then  $\mathbf{f}^{(r)\text{top}} = \mathbf{MS}_d \left( \mathbf{x}^{(r-1)} \right)$ .
- $\mathbf{x}_{out}$  not present in  $(\mathcal{F}_{\text{Poseidon}}^{\text{top}}) \Rightarrow$  **Cannot be in generic coordinates.**

- Iterated polynomial systems for Feistel with expanding round function (erf):

$$\mathbf{f}^{(i)} = \mathbf{M} \begin{pmatrix} x_1^{(i-1)} + h(x_n^{(i-1)}) \\ \vdots \\ x_{n-1}^{(i-1)} + h(x_n^{(i-1)}) \\ x_n^{(i-1)} \end{pmatrix} + \mathbf{c}_i + \mathbf{y} - \mathbf{x}^{(i)} = 0.$$

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- Transform to:

$$\mathbf{g}^{(i)} = \begin{cases} \left( \mathbf{M}^{-1} \mathbf{f}^{(i)} \right)_j, & j = 1, n, \\ \left( \mathbf{M}^{-1} \mathbf{f}^{(i)} \right)_j - \left( \mathbf{M}^{-1} \mathbf{f}^{(i)} \right)_1, & 2 \leq j \leq n - 1. \end{cases}$$

$$\mathcal{F} \subset P = K[x_1, \dots, x_n]$$

## Degree Fall

- $d \in \mathbb{Z}, f \in (\mathcal{F})$ .
  - $\deg(f) < d$ .
  - $f \in \text{rowsp}(M_{\leq d})$ .
  - $f \notin \text{rowsp}(M_{\leq d-1})$ .
- Leads to notion of last fall degree.
- Last fall degree always finite for systems in generic coordinates.



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